JC14 Rec'd PCT/PTO 0 3 JUN 2002

FORM	DT(_130	90 (Modified)  U.S. DEPARTME  DMMERCE PATENT AND TRADEMARK OFFICE	ATT SY'S DOCKET NUMBER			
(REV I		ON (Modified)  U.S. DEPARTIME DIMMERCE PATENT AND TRADEMARK OFFICE  RANSMITTAL LETTER. TO THE UNITED STATES	5944-01-DRK			
	•	DESIGNATED/ELECTED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR			
		CONCERNING A FILING UNDER 35 U.S.C. 371	10/009,612			
INTE	RNAT	TIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
TITLE		PCT/US00/15069 31 May 2000  NVENTION	10 June 1999			
RHC	DDAN	NINE DERIVATIVES FOR USE IN A METHOD OF INHIBITING AGING AMYLOID DEPOSITS	AMYLOID PROTEIN AGGREGATION			
		T(S) FOR DO/EO/US				
AUG	ELL	LI-SZAFRAN, Corinne Elizabeth; GLASE, Shelly Ann; WALKER, l	Lary Craswell; YASUNAGA, Tomoyuki			
Appli	icant h	herewith submits to the United States Designated/Elected Office (DO/EO/US) th	ne following items and other information:			
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	-			
2.	□ ⊠	This is a SECOND or SUBSEQUENT submission of items concerning a filin				
3.		This is an express request to begin national examination procedures (35 U.S.C.				
•••	_	(9) and (24) indicated below.				
4.		The US has been elected by the expiration of 19 months from the priority date	(Article 31).			
5.		A copy of the International Application as filed (35 U.S.C. 371 (c) (2))				
		a.  is attached hereto (required only if not communicated by the Internation)	itional Bureau).			
		b.  has been communicated by the International Bureau.				
		c. $\square$ is not required, as the application was filed in the United States Rece	<u> </u>			
6.		An English language translation of the International Application as filed (35 U	J.S.C. 371(c)(2)).			
		a. is attached hereto.				
		b. $\square$ has been previously submitted under 35 U.S.C. 154(d)(4).				
7.		Amendments to the claims of the International Application under PCT Article	1 1 1 1			
		a.  are attached hereto (required only if not communicated by the Internation	ational Bureau).			
		b.  have been communicated by the International Bureau.	•			
		c. have not been made; however, the time limit for making such amend	ments has NOT expired.			
	_	d.  have not been made and will not be made.				
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).				
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).				
11.		A copy of the International Preliminary Examination Report (PCT/IPEA/409).				
12.		A copy of the International Search Report (PCT/ISA/210).				
It	ems 1	13 to 20 below concern document(s) or information included:				
13.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
15.		A FIRST preliminary amendment.				
16.		A SECOND or SUBSEQUENT preliminary amendment.				
17.		A substitute specification.				
18.		A change of power of attorney and/or address letter.				
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.				
20.		A second copy of the published international application under 35 U.S.C. 154(d)(4).				
21.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).				
22.		Certificate of Mailing by Express Mail				
23.	$\boxtimes$	Other items or information:				
Certificate of Mailing (37 CFR 1.8)						
			,			

	U.S. AP	S. APPLICATION NO. (IF KNOWN, SEE 3 INTERNATIONAL APPLICATION NO. PCT/US00/15069			i	DOCKET NUMBER 01-DRK				
	24. The following fees are submitted:.							CALCULATION	S PTO USE ONLY	
	BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)):  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO									
j		Internatio	nal preliminary examination fee (37 at International Search Report prepa	CFR 1.482) not paid t	0		90.00			
			nal preliminary examination fee (37 ational search fee (37 CFR 1.445(a)				40.00			
i	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)					10.00				
j	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)									
,	Surchar	rge of \$13	0.00 for furnishing the oath or declar		□ 20			\$0.00		
	months	from the	earliest claimed priority date (37 Cl	FR 1.492 (e)).				\$130.00		
1	_	IMS	NUMBER FILED	NUMBER EXT	RA	x \$18.		\$0.00		
	Total cl	aims ident clair	- 20 = - 3 =	0		x \$84.		\$0.00		
			ent Claims (check if applicable).					\$0.00		
-		- 2 сре		ABOVE CALC	ULAT	IONS	=	\$130.00		
		pplicant cl duced by	aims small entity status. See 37 CF	R 1.27). The fees indic	ated abov	e are		\$0.00		
			<del>.</del>		SUB	<b>FOTAL</b>	_	\$130.00		
	Process months	ing fee of from the	\$130.00 for furnishing the English earliest claimed priority date (37 C	FR 1.492 (f)).	□ 20 、		30 +	\$0.00		
			<del> </del>	TOTAL NAT	ONA	FEE	_=	\$130.00		
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).						\$0.00			
06/0	/2002 L	LANDERA	00000071 230455 10009612	TOTAL FEES	<b>ENCL</b>	OSED		\$130.00		
01 F	:154	13	0.00 CH					Amount to be: refunded charged	\$	
	a.	A	check in the amount of	to cover the a	hove fee	s is enclose	-d	enan god		
	ъ. Ъ.		ease charge my Deposit Account N					0.00 to cover t	he above fees.	
	c.		duplicate copy of this sheet is enclose.  The Commissioner is hereby authorized.		onal fees	which ma	v be re	quired, or credit any	overpayment	
	to Deposit Account No. 23-0455 A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR									
٠								R		
SEND ALL CORRESPONDENCE TO:										
		R. Kurla	•		SIGNATURE			· pac	2	
	Warna	Warner-Lambert Company David R.				R. Ku	urlandsky			
•	2800 Plymouth Road Ann Arbor, MI 48105 Tel. (734) 622-7304				NAME 41,505					
	Fax (734) 622-1553 REGI				REGIST	RATIO	ON NUMBER			
	1 <b>3</b> May 200						2002	<u> </u>		
	DATE cm									



## JC14 Rec'd PCT/PTO 0 3 JUN 2002

PTO/SB92 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on	13 May <del>12</del> , 2002	· 	
cm 5/13/02	. Date		
		Cindy Maloch	
_		Signature	
		Cindy Malocha	
	Typed o	or printed name of person of signing Certificate	-

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# RECINIE

UNITED STATES PATEST AND TRADEMARK MARE 2 0 2002

## AA PATENT DEPT.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/009,612

Corinne Elizabeth Augelli-Szafran

5944-01-DRK

PRIORITY DATE

INTERNATIONAL APPLICATION NO.

PCT/US00/15069

I.A. FILING DATE

05/31/2000

David R kurlandsky Warner-Lambert Company 2800 Plymouth Road Ann Arbor, MI 48105

**CONFIRMATION NO. 3747 371 FORMALITIES LETTER** 21 T | 12 K | 13 C | 13 C | 14 C | 15 C | 15

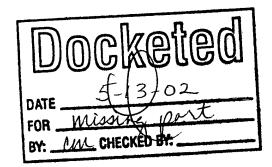
OC000000007623012

Date Mailed: 03/13/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

the IB to the United States Patent and Trademark The following items have been submitted by the appliquents Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- . Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- · Request for Immediate Examination



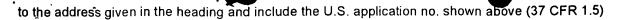
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed



A copy of this notice **MUST** be returned with the response.

MAMIE P PERSON

Telephone: (703) 305-3737

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/009,612	PCT/US00/15069	5944-01-DRK

FORM PCT/DO/EO/905 (371 Formalities Notice)